



PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Date of Signature

and Deposit:

Sept 16, 2003

Barry E. Sammons
Barry E. Sammons, Reg. No. 25,608

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert Lewis

Client Docket No. RC-640

Serial No.: 10/634,377

Filing Date: 08-05-2003

Title: Mast Construction For A Fork Lift

Art Unit:

Examiner:

Atty Docket: 780139.91751

INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with 37 CFR 1.97 and 1.98:

- ☒ A list of documents on form PTO-1449 together with copies of each identified document;
- ☐ A translation or a concise explanation of each non English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]
- ☐ 37 CFR 1.97(c) [before Final Office Action or Notice of Allowance]; and
- ☐ The required certification made under the heading "Certification" below;
or

☐ The \$ _____ fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized under the heading "Authorization to Charge Fees" below.

☐ 37 CFR §1.97(d) [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$ _____ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees." (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch _____, Date of Allowance _____"), and

b) The required Certification is stated under the heading "Certification" below.

☐ Certification

☐ Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ Authorization to Charge Fees

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account 17-0055.

Respectfully submitted,
ROBERT LEWIS

By:



Barry E. Sammons
Attorney for Applicant
Reg No.: 25,608
Quarles & Brady LLP
411 East Wisconsin Avenue
Milwaukee, WI 53202



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of

Complete if Known

Application Number	10/634,377
Filing Date	08-05-2003
First Named Inventor	Robert Lewis
Art Unit	
Examiner Name	
Attorney Docket Number	780139.91751

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 6,505,710 B1	01-14-2003	Kato	
	2	US- 6,182,797 B1	02-06-2001	Tebbe et al	
	3	US- 5,992,571	11-30-1999	Lee	
	4	US- 5,046,858	09-10-1991	Ohta et al	
	5	US- 5,330,032	07-19-1994	Warner	
	6	US- 4,721,187	01-26-1988	Riddle	
	7	US- 4,657,471	04-14-1987	Shinoda et al	
	8	US- 4,585,093	04-29-1986	Barda Jr.	
	9	US- 4,506,764	03-26-1985	Griesenbrock et al	
	10	US- 4,441,585	04-10-1984	Macnab	
	11	US- 4,369,861	01-25-1983	Reitman	
	12	US- 4,374,550	02-22-1983	Bartow	
	13	US- 4,392,554	07-12-1983	Russey	
	14	US- 4,355,703	10-26-1982	Bartow	
	15	US- 4,356,891	11-02-1982	Bartow	
	16	US- 4,356,893	11-2-1982	Johannson	
	17	US- 4,365,693	12-28-1982	McVeen	
	18	US- 4,261,438	04-14-1981	Olson	
	19	US- 4,191,276	03-04-1980	Farmer et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

